

IFW

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application No.	10/812,573	
	Filing Date	March 29, 2004	
	First Named Inventor	Mark Thomas	
	Art Unit	2875	
	Examiner Name	Peggy A. Neils	
Total Number of Pages in This Submission	9	Attorney Docket Number	6488P008

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>- Check in the amount of \$180.00 - Return Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee	Remarks	
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 7, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Kristin A. Morrow		
Signature		Date	April 7, 2006



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/812,573
Filing Date	March 29, 2004
First Named Inventor	Mark Thomas
Examiner Name	Peggy A. Neils
Art Unit	2875
Attorney Docket No.	6488P008

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

SUBTOTAL (2) (\$) 180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	04/07/06



Docket No.: 6488P008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK THOMAS, ET AL.

Application No.: 10/812,573

Filed: March 29, 2004

For: **METHODS AND APPARATUSES FOR
ILLUMINATING WHEEL SURFACES**

Art Group: 2875

Examiner: Peggy A. Neils

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

04/11/2006 YPOLITE1 00000021 10812573

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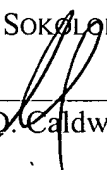
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 07, 2006



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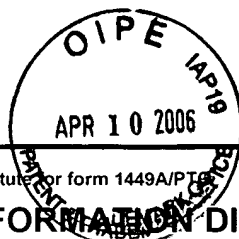
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Kristin A. Morrow

04-07-06

Date



Substitution for form 1449A/PTO Form 1449A INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet 1 of 2		Application Number		10/812,573	
		Filing Date		March 29, 2004	
		First Named Inventor		Mark Thomas	
		Art Unit		2875	
		Examiner Name		Peggy A. Neils	
		Attorney Docket Number		6488P008	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-3,699,367	10-17-1972	Thomas	
	2	US-3,760,351	09-18-1973	Thomas	
	3	US-4,229,728	10-21-1980	Tremba	
	4	US-4,405,872	09-20-1983	Thomas	
	5	US-4,429,232	01-31-1984	Thomas et al.	
	6	US-4,504,761	03-12-1985	Triplett	
	7	US-4,539,496	09-03-1985	Thomas et al.	
	8	US-4,761,577	08-02-1988	Thomas et al.	
	9	US-4,775,919	10-04-1988	Pearsall et al.	
	10	US-5,016,144	05-14-1991	DiMaggio	
	11	US-5,548,274	08-20-1996	Anderson et al.	
	12	US-5,650,681	07-22-1997	DeLerno	
	13	US-5,934,882	08-10-1999	Olney et al.	
	14	US-6,030,106	02-29-2000	Johnson	
	15	US-6,641,041 B2	11-04-2003	Olds et al.	
	16	US-6,612,726 B1	09-02-2003	Gloodt et al.	
	17	US-6,291,901 B1	09-18-2001	Cefo	
	18	US-6,789,928 B2	09-14-2004	Khan	
	19	US-6,809,426 B2	10-26-2004	Naar et al.	
	20	US-6,817,743 B2	11-16-2004	Sharper	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ² - Number ³ - Kind Code ⁴ (if known)			
	21	DE10055882 A1	05-29-2002	Rose	
	22	DE19922570 A1	11-23-2000	Ufermann	
	23	FR2407599 A1	06-29-1979	Juillet	
	24	GB2307044 A	05-14-1997	Jessop	
	25	JP2001258234 A	09-21-2001	NTT Telecom	
	26	RU2248084C2	03-10-2005	Vatolin	

Examiner Signature	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional); See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04; ²Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3); ³For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible; Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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